

Guatemala - Improving Customs

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Overview

Identification

COUNTRY

Guatemala

EVALUATION TITLE

Improving Customs

EVALUATION TYPE

Independent Performance Evaluation

ID NUMBER

DDI-MCC-GTM-RM-CUSTOMS-2021-v01

Version

VERSION DESCRIPTION

- v01: Edited, anonymous dataset for public distribution.

Overview

ABSTRACT

The evaluation is an ex-post performance evaluation. There are seven proposed questions that cover the Customs activities:

Did project activities address critical issues that significantly impede revenue collection in Guatemala?

Were the Policy Milestones established in the Threshold Program Agreement achieved?

Has the project (and individual activities) produced the intended outcomes and met its objective?

What key factors contributed to or hindered the results of the project as a whole and individual activities?

What key factors contributed to or hindered sustainability of the project as a whole and individual activities?

What can be learned about design and implementation, inclusive of 'rolling design', participation of the private sector, non-implementation of some work plan activities, and the interagency agreement between MCC and OTA?

Have international best practice standards relating to each activity been used?

RESULTS: [To be provided upon completion]

EVALUATION METHODOLOGY

Other (Performance Evaluation)

UNITS OF ANALYSIS

The unit of analysis for results are activity outcomes which all contribute to four standard custom outcomes: increased revenue, increased security, reduced time and reduced cost. Data will be collected from respondents, primarily Government Staff implementing the project, private sector beneficiaries (individual brokers, and importing firms), implementing staff and consultants, and relevant MCC staff.

KIND OF DATA

Sample survey data [ssd]

TOPICS

Topic	Vocabulary	URI
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Topic	Vocabulary	URI
Capacity Building and Institutional Development	MCC Sector	

KEYWORDS

Customs, Port, Reasonable Doubt, Cargo Controls, Customs Appeals, OTA, SAT

Coverage

GEOGRAPHIC COVERAGE

The program was implemented at SAT in Guatemala City and at the Puerto Quetzal, Santo Tomas de Castilla, and Guatemala City airport.

UNIVERSE

The study population consisted of officials at SAT and others involved with the implementation and management of the intervention.

Producers and Sponsors

PRIMARY INVESTIGATOR(S)

Name	Affiliation
Dr. Bonni van Blarcom	Customs and Border Management Services LLC

FUNDING

Name	Abbreviation	Role
Millennium Challenge Corporation	MCC	

Metadata Production

METADATA PRODUCED BY

Name	Abbreviation	Affiliation	Role
Millennium Challenge Corporation	MCC		Review of Metadata

DATE OF METADATA PRODUCTION

2021-05-10

DDI DOCUMENT ID

DDI-MCC-GTM-RM-CUSTOMS-2021-v01

MCC Compact and Program

COMPACT OR THRESHOLD

Guatemala Threshold

PROGRAM

The Threshold Agreement was signed on 8 April 2015 with the Government of Guatemala. The objective of the Tax and Customs Activity is to support government reforms to increase revenues by improving the efficiency of tax and customs administration. The first work plan for 2016-2018 had ten components. By default, the rolling design is a key feature used by the implementer, the Office of Technical Assistance, U.S. Department of Treasury. Seventeen activities have been identified which were designed to implement the agreement. Active and completed activities are: Value database, 2. Export Controls and VAT Export Refund Risk, 3. Cargo Control Plan (PICCA), 4. Customs Clearance Time Monitoring Study, 5. Penalties & Ethics of Custom Officers & Anti-Corruption Strategy, 6. Technical Analysis of Merchandise, 7. Reasonable Doubt, 8. Risk System, 9. New Bulk Customs Clearance Procedure, 10. Minimum Descriptions, 11. Post Clearance Audit. Activities that were not jointly planned, curtailed or never implemented are: 12. Export/Importer Registry and Broker Outreach and Education, 13. Create and Train an Investigative Unit, 14. Advance Rulings, 15. Port Security, 16. Human Resource Management with Performance Management, 17. Import Specialist Program. The six curtailed activities will only be evaluated to the extent that review will contribute to learning. It is intended to apply a level of effort to the activities that corresponds to the level

of effort in implementation of the activity.

MCC SECTOR

Capacity Building and Institutional Development (Cap Bldg & Inst Dev)

Sampling

Study Population

The study population consisted of officials at SAT and others involved with the implementation and management of the intervention.

Questionnaires

Overview

There will be at least 28 questionnaires, designed for each respondent category, with 17 versions for category 2 (one for each activity) for SAT coordinating officials:

1. SAT - senior officials
2. SAT - coordinators and coordinating office
3. SAT - other officials involved with the activities
4. SAT - other officials effected by the MCC/OTA activities e.g. Port Quetzal; Port Santo Tomas de Castilla; Airport
5. PRONACOM: Director; All who have worked on the project
6. MCC - country officer; staff who have/have had oversight responsibility or who have worked on the project
7. OTA - Project Manager, all consultants who have worked on the project for more than 20 work days, OTA office director
8. Brokers: broker association staff; brokers; gerios (those that work for brokers)
9. Importers
10. Private sector associations e.g. Corporation of Custom Agents in Guatemala; Chamber of Industry
11. US community: U.S. Embassy, USAID, American Chamber of Commerce in Guatemala
12. Donors: IMF

The questionnaire will have two parts - corresponding to the two category of questions (overall and for each activity). The first part will have questions phrased appropriately to the audience to get to answers for questions 1-8 above. The second part will follow the structure of the monitoring memos (provide monitoring data on description, outputs, outcomes, consultants who worked on the activity) and will include questions to get to the answers to questions 9-11 above. The questionnaires will ask for facts and perception.

Data Collection

Data Collection Dates

Start	End	Cycle
2022-03-01	2022-04-01	N/A

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Data Processing

No content available

Data Appraisal

No content available